TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. T8466293US

In Re Application Of: **Steven Spicer et al**

Serial No. 09/926,438	Filing Date 01/18/2002	Examiner -	Group Art Unit 2151

Title: **NETWORK RESOURCE CONTROL SYSTEM**

Received

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Technology Center 2100

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

    ☐ the statement specified in 37 CFR 1.97(e);

        OR

    ☐ the fee set forth in 37 CFR 1.17(p).

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NETWORK RESOURCE CONTROL SYSTEM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of   is attached.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No.
as described below. A duplicate copy of this sheet is enclosed.

  ☐ Charge the amount of

  ☐ Credit any overpayment.

  ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*	Certificate of Mailing by First Class Mail
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F _____ **(Date)**	I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
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Signature

Robert Graham
Reg. No. 43,430

Dated: /2 June 2002

CC:

P10A/REV02

INFORMATION DISCLOSURE CITATION	Docket Number (Optional) T8466293US	Application Number 09/926,438
(Use several sheets if necessary)	Applicant(s) Steven Spicer et al	
	Filing Date 01/18/2002	Group Art Unit 2151

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,987,611	Nov. 16/99	Freund	713	201	
		6,360,252	Mar. 19/02	Rudy	709	206	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO
		WO 99/22294	May 6/99	International Application				✔

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Sitao W et al: "Using Device Driver Software in Scada Systems" 2000 IEEE Power Engineering Society. Winter Meeting. Conference Proceedings. Singapore, Jan. 23-27, 2000, IEEE Power Engineering Society Winter Meeting, New York, NY: IEEE, US, vol. 3 of 4, 23 January 2000 (2000-01-23), pages 2046-2049, XP000967795 ISBN: 0-7803-5936-4, page 2046, right-hand column, paragraph 3, page 2047, right-hand colomn, paragraph 1.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820
(also form PTO-1449)

P09A/REV04

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